

Alexandria Technical and Community College

BUS 1200: Financial Markets

A. COURSE DESCRIPTION

Credits: 3

Lecture Hours/Week: 3

Lab Hours/Week: 0

OJT Hours/Week: 0

Prerequisites: None

Corequisites: None

MnTC Goals: None

This course explores financial markets from the view point of Financial Industry Regulatory Authority (FINRA). The course looks at capital markets in a context of understanding trading, managing customers' accounts, and identifying prohibited activities. Learners will understand how government oversight and regulations affect the agent's work relating to customers' needs.

B. COURSE EFFECTIVE DATES: 06/18/2019 - Present

C. OUTLINE OF MAJOR CONTENT AREAS

1. Gain a knowledge of capital markets.
2. Understand trading, customer accounts, and prohibited activities.
3. Overview of the regulatory framework.

D. LEARNING OUTCOMES (General)

1. The learner will identify and understand capital markets.
2. The learner will understand trading of corporate accounts and prohibited activities.
3. The learner will understand registration and continuing education requirements as well as reportable events.

E. Minnesota Transfer Curriculum Goal Area(s) and Competencies

None

F. LEARNER OUTCOMES ASSESSMENT

As noted on course syllabus

G. SPECIAL INFORMATION

None noted